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PTO/SB/05 (08-00)

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09/808641  
JG73 USA  
03/14/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

<b>APPLICATION ELEMENTS</b>  <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>Attorney Docket No.</b> YAMAP0756US
	<b>First Inventor</b> Sugimoto et al.
	<b>Title</b> DRIVE, METHOD FOR READING ...
	<b>Express Mail Label No.</b> EF297167721US

<b>APPLICATION ELEMENTS</b>  <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
	<b>Fee Transmittal Form</b> (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	<b>7.</b> <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
	<b>Applicant claims small entity status.</b> See 37 CFR 1.27.	<b>8.</b> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
	<b>3.</b> <input checked="" type="checkbox"/> Specification [Total Pages 43] (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)
	- Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper
	<b>4.</b> <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 00 ]	c. <input type="checkbox"/> Statements verifying identity of above copies
	<b>5.</b> Oath or Declaration [ Total Pages 00 ]	<b>9.</b> <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
	a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed)	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
	i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> English Translation Document (if applicable)
	<b>6.</b> <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
		13. <input type="checkbox"/> Preliminary Amendment
		14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
		16. <input checked="" type="checkbox"/> Other: <b>Certificate of Mailing &amp; Letter Identifying Inventors.</b>

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

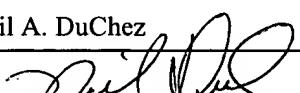
Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach Bar Code Label Here)	or <input type="checkbox"/> Correspondence address below
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Signature			
	Date 3-14-01		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date March 14, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EF297167721US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Farr  
Typed or Printed Name of Person Mailing Paper

*Janet Farr*  
(Signature of Person Mailing Paper)

YAMAP0756US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of : Group Art Unit:  
Sugimoto et al. : Examiner  
Express Mail No.: EF297167721US :  
Filed: March 14, 2001 :  
For: DRIVE, METHOD FOR READING DATA, INFORMATION RECORDING MEDIUM  
REPRODUCTION APPARATUS, AND METHOD FOR REPRODUCING DATA

**LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The attached application is submitted herewith absent a Combined Declaration and Power of Attorney in accordance with 37 CFR §1.53(b) and (d). The inventors are as follows:

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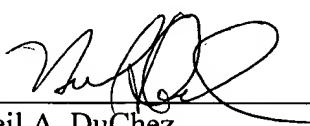
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It is requested that the Patent Office direct all correspondence regarding this application to:

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Respectfully submitted,  
RENNER, OTTO, BOISSELLE & SKLAR, LLP

By   
Neil A. DuChez  
Reg. No. 26, 725